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**BARNES & THORNBURG** 

Attorneys at Law

600 One Summit Square Fort Wayne, Indiana 46802-3119 (260) 423-9440

Fax Number: (260) 424-8316

#### FAX COVER SHEET

NAME

COMPANY NAME

TELECOPY NO.

TO:

Examiner Tung S. Lau

United States Patent Office

70**7**-872**-**9319

FROM:

Gregory S. Cooper

**DIRECT DIAL: (260) 425-4660** 

E-MAIL:

DATE:

October 28, 2002

TIME SENDING:

NUMBER OF PAGES (INCLUDING THIS COVER SHEET):

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DELIVER DIRECTLY TO EXAMINER TUNG S. LAU GROUP ART UNIT 2863

> FAX CODY RECEIVED OCT 282002

TECHNOLOGY CENTER 2800

Response once received: Please deliver immediately.

28908 CLIENT# MATTER#

82353

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10-31-00 10-31-00

PATENT TRADEMARK OFFICE

PATENT APPLICATION IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Customer No.:

Application No.: 09/584570

Confirmation

No.:

3873

Filing Date:

May 31, 2000

Attorney

Docket No.:

28908-82353

First Named

Inventor:

Steven M. Reynolds

Group Art

Unit:

2863

Examiner

Name:

Tung S. Lau

Title:

PUMP AND METHOD FOR

FACILITATING

MAINTENANCE AND ADJUSTING OPERATION OF

SAID PUMP

Certificate of Transmission

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on October 28, 2002

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OCT 282002

RESPONSE TO FINAL ACTION TECHNOLOGY CENTER 2800

Box AF Assistant Commissioner of Patents Washington, D.C. 20231

10/31/2002 PWALKER 00000002 021010

01 FC:1252

The following is Applicants' response to the Official Action mailed June 25, 2002, in connection with the above-identified application.

FWDS01 GZC 162832\_1.DOC

\*23641\*

PATENT TRADEMARK OFFICE

Serial No. 09/584570 Docket No. 28908-82353

Pursuant a discussion with the Examiner on October 28, 2002, this Response is being sent to trigger the issuance of the non-final Office Action referenced in the Examiner's Interview Summary Report mailed September 24, 2002. A copy of the Interview Summary report is attached herewith.

If, upon consideration of the above, the Examiner should feel that there remain outstanding issues in the present application that could be resolved, the Examiner is invited to contact Applicants' patent counsel at the telephone number given below to discuss such issues.

To the extent necessary, a petition for an extension of time under 37 C.F.R. §1.136 is hereby made. To the extent additional fees are required, please charge the fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account No. 02-1010 (28908/82353) and please credit any excess fees to such deposit account.

Respectfully submitted,

Gregory S. Cooper

Reg. No. 40,965

(260) 423-9440

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TECHNOLOGY CENTER 2800



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSICATE OF PATINTS AND TRADEMARK Washington, D O. 20281

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/584,570	05/31/2000	Steven M. Reynolds	P99, 0629 2233	3873
23641 RARNES &	7590 09/24/2002 THORNBURG		IMAX3	iner
600 ONE SU	MMIT SQUARE NE, IN 46802		LAU, T	UNG S
	·		ART UNIT	PAPER NUMBER
			2841	

Please find below and/or attached an Office communication concerning this application or proceeding.

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DATE MAILED: 09/24/2002

Received from < > at 10/28/02 3:52:21 PM [Eastern Standard Time]



# UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARK Weshington, D.C. 20231

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO.
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77/5843/9	•		
			EXAMINER
		• •	
			ART UNIT . FAPER NUMBER
	• •	• • •	2365
	•		ATE MAILED:
	INTE	RVIEW SUMMARY	
li participants (applicant, applicante	representative. PTO perso	nnei):	- 50
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) Jaku Hutter		(3) - 3/3 - 3/3 - 3/3	<b>Y</b>
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ate of Interview	2-02	<u> </u>	
	Conference     Personal (	copy le given to 🔲 applicant 🗓 a	pplicant's representative).
	•		
xhibit shown or demonstration cond	ducted: ∐Yes ∐No If t	res, brief description;	1
Agreement Was reached. Www	as not reached.	•	
	17		79
daim(s) discussed:	NA	art	
dentification of prior art discussed:	14.01	DS1 122	
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The second secon	what was agreed to if an ag	greement was reached; or any other o	omments:
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A CONTRACTOR OF THE STATE OF TH			
( A fuller description, if necessary, a	and a copy of the amendme	nts, if available, which the examiner a nich would render the claims allowabl	greed would render the claims allowable is available, a summary thereof must be
must be attached. Also, where no eattached.)	copy of the amenoments wi		
His not necessary for applica	ant to provide a separate re	cord of the substance of the interview	
Unless the paragraph above has b	een checked to indicate to 1	the contrary. A FORMAL WRITTEN F	HEPLY TO THE LAST OFFICE ACTION
IS NOT WAIVED AND MUST INCL	UDE THE SUBSTANCE OF PLICANT IS GIVEN ONE !	MONTH FROM THIS INTERVIEW DA	tion 713.04). If a reply to the last Office TE TO FILE A STATEMENT OF THE
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A contract the second s	to a malana h in an amanha	nent to another form.	
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A STATE OF THE STA		• • •	
		•	ALL PARTY.
		111/1	JOHN S. HILTEN

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## Manual of Patent Examining Procedure, Section 713.04 Substance of Interview must Be Made of Record

Except as otherwise provided, a complete written statement as to the substance of any face-to-face or telephone interview with regard to an application must be made of record in the application, whether or not an agreement with the examiner was reached at the interview.

(b) In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as werranting feverable action must be filed by the applicant. An Interview does not remove the necessity for reply to Office action as specified in §§ 1.111 and 1.135, (35 U.S.C. 132)

§ 1.2. Business to be transacted in writing. All business with the Palent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Palent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is liself incomplete through the failure to record the substance of Interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates the or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete a two-sheet carbon interieal interview Summary Form for each interview held after January 1, 1978 where a matter of substance has Examiners must complete a two-sneet carpon intensar interview summary from for each interview neig anar January 1, 1978 where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks in neat handwritten form using a ball point pen. Discussions been discussed during the interview by checking the appropriate boxes and filling in the blanks in neat handwritten form using a ball point pen. Discussions of Patent only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent only procedure, pointing out typographical errors or unreadable script in Office actions or the like, or resulting in an examiner's amendment that fully sets forth the agreement are excluded from the interview recordation procedures below.

The Interview Summary Form shall be given an appropriate paper number, placed in the right hand portion of the file, and listed on the "Contents" list on the file wrapper. In a personal interview, the duplicate copy of the Form is removed and given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephonic interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication.

The Form provides for recordation of the following Information:

- -Application Number of the application
- -Name of applicant
- -Name of examiner
- -Date of interview -Type of interview (personal or telaphonic)
- -Name of participant(s)) (applicant, attorney or agent, etc.)
- -An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the claims discussed
  An identification of the specific prior an discussed
- -At months about of the agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of a function whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of a function whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of a function whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of a function whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of a function whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of a copy of a function whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of a c
- The signature of the examinar who conducted the interview
- -Names of other Patent and Trademark Office personnel present.

The Form also contains a statement reminding the applicant of his responsibility to record the substance of the Interview.

It is destreable that the examiner orally remind the applicant of his obligation to record the substance of the interview in each case unless both applicant and examiner agrees that the examiner will record same. Where the examiner agrees to record the substance of the interview, or when it is adequately recorded on the examiner to the examiner will record same. Where the examiner agrees to record the substance of the interview, or when it is adequately recorded on the examiner to the examiner should check a box at the bottom of the Form informing the applicant that he need not supplement the Form by submitting a separate record of the substance of the Interview.

It should be noted, however, that the interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview:

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- -1) A brief description of the nature of any exhibit shown or any demonstration conducted, 2) an identification of the claims discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary

5) a brief identification of the general thrust of the principal arguments presented to the examiner. The identification of arguments need not be lengthy or y a prior restriction of the general structure of the arguments is not required. The identification of the arguments is sufficient if the general nature of the arguments is sufficient if the general nature of the arguments is sufficient in the general nature of the arguments is sufficient in the general nature of the principal arguments made to the examiner can be understood in the content of the application life. Of course, the applicant may desire to applicate the arguments made to the examiner can be understood in the content of the application life. Of course, the applicant may desire to applicate the arguments and the arguments which be feeld were as might be according to the arguments. amphasize and fully describe those arguments which he leets were or might be persuasive to the examiner,

8) a general indication of any other pertinent matters discussed, and to a general malication of any other pertinent matters discusses, and the interview Summary Form completed by the examiner. This appropriate, the general results or outcome of the interview unless already described in the interview Summary Form completed by the examiner.

Examiners are expected to carefully review the explicant's record of the substance of an interview. If the record is not complete or accurate, the examiner will give the Examiners are expected to carefully review the explicant a record of the application (97 CFR 1.136(c) ). applicant one month from the date of the notifying letter to complete the reply and thereby avoid abandonment of the application (97 CFR 1.136(c) ).

#### Examiner to Check for Accuracy

Applicant's summary of what took place at the interview should be carefully checked to determine the accuracy of any argument or statement attributed to the Applicant's summary of what took place at the interview should be carefully enecked to determine the accuracy of any argument of statement altributed to the examiner during the interview. If there is an inaccuracy and it bears directly on the question of patentability, it should be pointed out in the next Office letter. If the examiner during the interview, if there is an inaccuracy and it bears directly on the question of patentability, it should be pointed out in the next Office letter. If the examiner during the interview are allowable for other reasons of record, the examiner should send a letter sating forth his or her version of the statement attributed to him. If the record is complete and accurate, the examiner should place the indication finterview record OK on the paper recording the substance of the interview along with the date and the examiners initials.